## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Carlo Bacciottini

Title:

Disk of a Disk Rotor for a Gas Turbine

Serial No.:

filed concurrently

Art Unit:

n/a

Filing Date:

filed concurrently

Examiner:

n/a

Date:

June 28, 2006

## INFORMATION DISCLOSURE STATEMENT

Mail Stop Patent Application Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98.

[X] 1. A list of documents on Form PTO-1449 or Substitute together with copies of each identified document (other than U.S. patents and U.S. patent application publications unless required by the Office) and a translation thereof or a concise explanation of each non-English language document or a Search Report or communication from a non-US patent office or an International Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

This paper is submitted in accordance with:

- [X]2. 37 CFR 1.97(b): [within three months of national, non-CPA filing, prior to first Office Action, on the merits, or prior to first office action after filing an RCE]
- [ ] 3. 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
  - [ ] a. The required Certification made in item 5 below; or
  - [ ] b. The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.
- [ ] 4. 37 CFR 1.97(d): [on or before issue fee payment]; and
  - a) The required Certification is stated in item 5 below; and
  - b) The \$180.00 fee specified in 37 C.F.R. 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.

ſ	] 5.	Certification

- [ ] a. Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or
- [ ] b. No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement.

## [X] 6. Payment of all applicable fees:

- Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 090470.
- Enclosed is a check in the amount of \$\_\_\_\_\_in payment of all applicable

fees associated with the submittal of this Information Disclosure Statement.

This document is submitted in duplicate.

Respectfully submitted,

Mark A. Conklin Reg. No. 39,148 Patent Counsel

Address: GE Global Patent Operation

Suite 204 187 Danbury Road Wilton, CT 06897-4122 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

Complete if Known

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

Complete if Known			
Application Number	not available		
Filing Date	filed concurrently		
First Named Inventor	C.Bacciottini		
Art Unit	not available		
Examiner Name	not available		
Attorney Docket Number	72NP154562		

Examiner	Cite No.1	Document Number  Number-Kind Code <sup>2 (d known)</sup>	U. S. PATENT Publication Date	Name of Patentee or	Pages, Columns, Lines, Where	
Initials*			MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
		<sup>US-</sup> 6094905 A	08-01-2000	Fukuyama et al		
		<sup>US-</sup> 2002/124570A1	09-12-2002	Akiyama Ryou et al		
		<sup>US-</sup> 5054996A	10-08-1991	Carreno et al		
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Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
***************************************	110.	Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Approant of Otted Bocoment	Or Relevant Figures Appear	7
		JP 57193701A	11-29-82	Hitachi Seisakusho KK		
		JP 58140406A	08-11-83	Hitachi Seisakusho KK		
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WiPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gethering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.